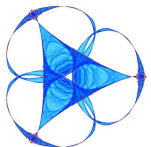
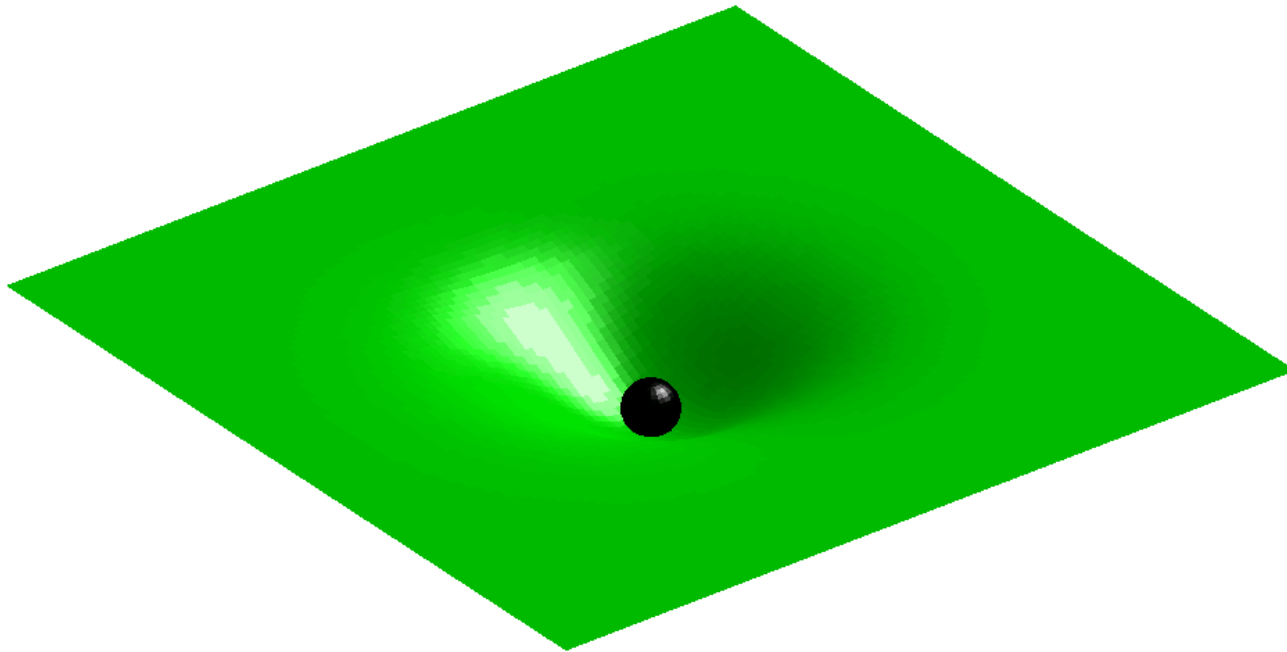


A quick introduction to the Einstein equations

Douglas N. Arnold
Institute for Mathematics and its Applications



The coordinate-free viewpoint: geometry

Vector space concepts

V an finite dimensional vector space; V^* its dual;
canonical identification $V \cong V^{**}$, but not $V \cong V^*$

Cartesian product $V \times W$, tensor product $V \otimes W$

$$\underbrace{V \otimes \dots \otimes V}_k \otimes \underbrace{V^* \otimes \dots \otimes V^*}_l \cong \text{multilinear maps } \underbrace{V^* \times \dots \times V^*}_k \times \underbrace{V \times \dots \times V}_l \rightarrow \mathbb{R}$$

$$\text{tr} : V^* \otimes V \rightarrow \mathbb{R}, \quad \text{tr}(f \otimes v) = f(v)$$

Inner product concepts

Pseudo inner product: symmetric bilinear map

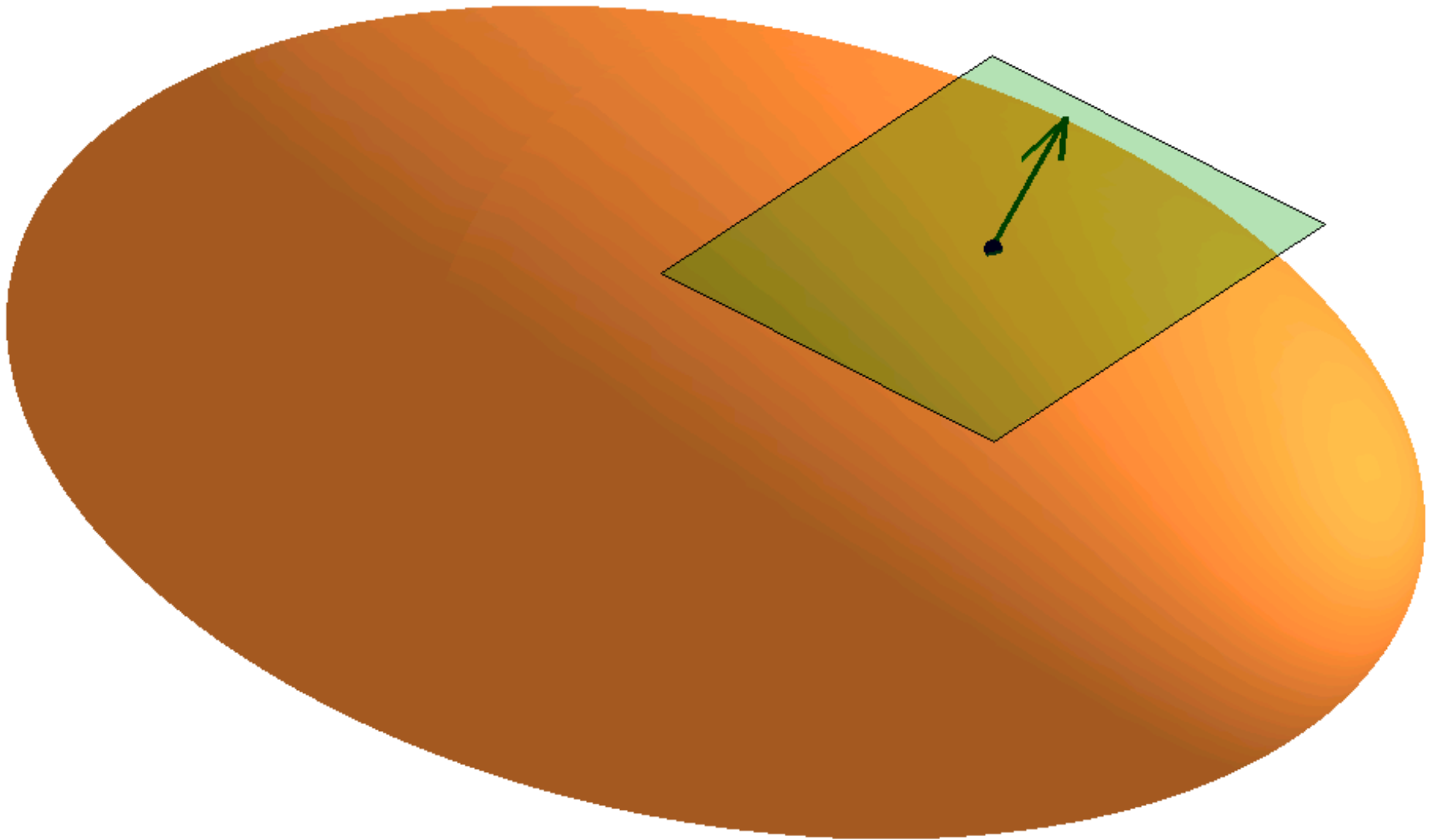
$a : V \times V \rightarrow \mathbb{R}$ which is non-degenerate: $a(v, \cdot) \not\equiv 0$ if $v \neq 0$.

$a(v, v)$ is the **squared length** of v . Can be 0 or negative.

Orthonormal basis: $a(e_i, e_j) = \pm \delta_{ij}$. Number of + and - basis-independent, **signature** of inner product.

An inner product establishes a canonical identification $V \cong V^*$

Manifold concepts



Tensors on manifolds

M an n -manifold, $p \in M$, $T_p M$ the tangent space of M at p ,
 $(T_p M)^*$ the cotangent space

$$T_p^{(k,l)} M := \underbrace{T_p M \otimes \cdots \otimes T_p M}_k \otimes \underbrace{T_p M^* \otimes \cdots \otimes T_p M^*}_l$$

Maps $p \in M \mapsto v_p \in T_p^{(k,l)} M$, are called (k, l) -tensors

$(0, 0)$ -tensors: functions $M \rightarrow \mathbb{R}$

$(1, 0)$ -tensors: vector fields

$(0, 1)$ -tensors: covector fields

(k, l) -tensors: at each p takes k tangent covectors and l tangent vectors and returns a number

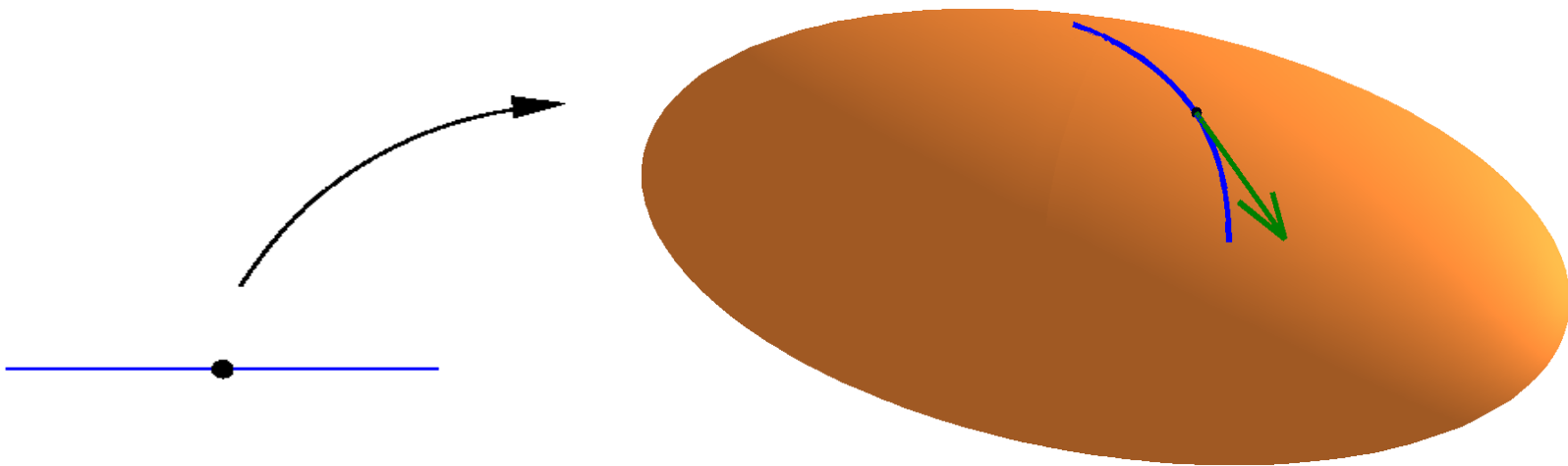
All physical quantities in relativity are modeled as tensors.

Maps between manifolds

If $\phi : M \rightarrow N$ is smooth and $p \in M$, then

$d\phi_p : T_pM \rightarrow T_{\phi(p)}N$ is a linear map. For $v \in T_pM$, $\phi_*v := d\phi_p v \in T_{\phi(p)}N$ is the push-forward of v .

For I an interval about 0, $\gamma : I \rightarrow M$ a curve, then $\gamma'(0) := d\gamma_0 1$ is a tangent vector at $\gamma(0)$.

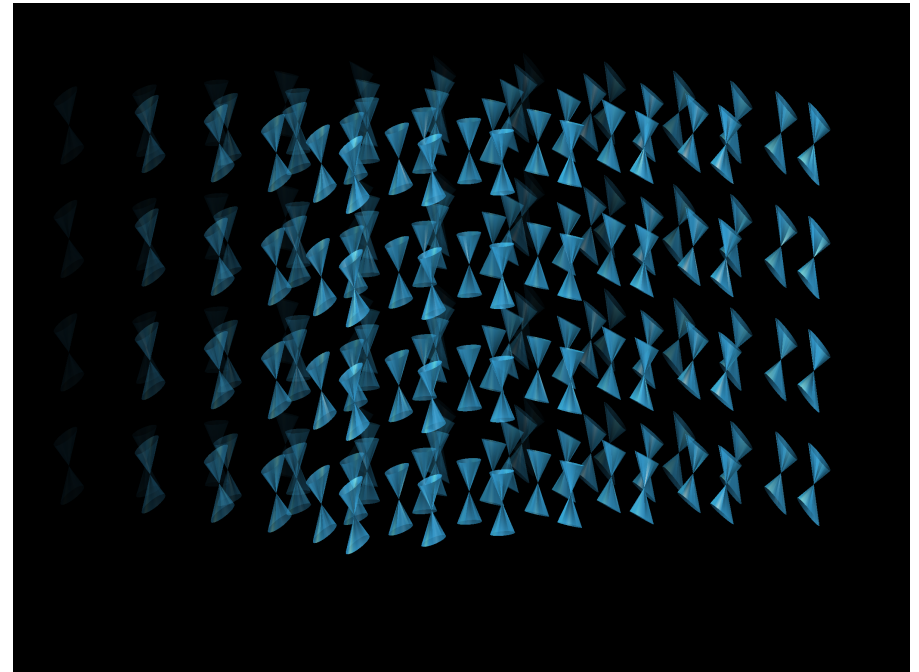
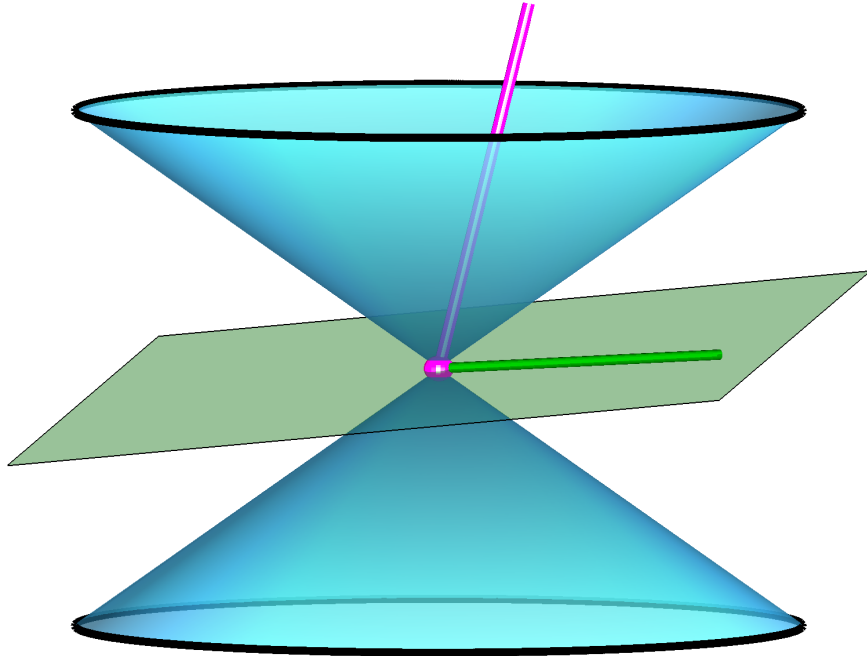


If $f : M \rightarrow \mathbb{R}$, then df_p is a linear map T_pM to \mathbb{R} , i.e., df is a covector field.

Metrics on manifolds

A pseudo Riemannian metric is a symmetric, non-degenerate $(0, 2)$ -tensor, i.e., at each point p , an inner product on T_pM

The Einstein equations are concerned with assigning to a manifold a metric with signature $-+++$ with certain properties.



Abstract index notation

(k, l) -tensors use symbols adorned with k superscripts and l subscripts a, b, \dots

v^a is a vector field, w_b is a covector field, $R_{abc}{}^d$ is a $(1, 3)$ -field, \dots

The indices themselves have no meaning (like the $\vec{}$ in \vec{v}).

The tensor product of v_b^a and w_c^{ab} is written $v_b^a w_c^{cd}$.

Counting sub- and superscripts shows it to be a $(3, 2)$ -tensor.

The trace of a $(1, 1)$ -tensor is indicated by a repeated index: v_a^a
(Repeated sub-/superscripts aren't counted.)

v_{ad}^{abc} trace of a $(3, 2)$ -tensor wrt the first covector and vector variables, a $(2, 1)$ -tensor.

Symmetry notation

$v_{(ab)} := \frac{1}{2}(v_{ab} + v_{ba})$, the symmetric part of v_{ab}

$v_{[ab]} := \frac{1}{2}(v_{ab} - v_{ba})$, the antisymmetric part of v_{ab}

$v_{(ab)c} := \frac{1}{2}(v_{abc} + v_{bac})$

$v_{(abc)} := \frac{1}{6}(v_{abc} + v_{bca} + v_{cab} + v_{bac} + v_{cba} + v_{acb})$

Index lowering and raising

If a metric g_{ab} is specified, we can identify a covector with a vector. We write v_a for the vector identified with v^b : $v_a = g_{ab}v^b$

This can apply to one index of many: $g_{ce}w_{ab}^{ed} = w_{abc}^d$,
or several: $g_{ce}g_{df}w_{ab}^{ef} = w_{abcd}$

Applied to the metric we find g_a^b is the identity δ_a^b , and g^{ab} is the “inverse metric,” which can be used to raise indices:

$$v^a = g^{ab}v_b$$

Covariant differentiation

Given a function $f : M \rightarrow \mathbb{R}$ and a vector $V^a \in T_p M$ there is a natural way to define the directional derivative $V^a \nabla_a f$:

$$V^a \nabla_a f(p) = \lim_{\epsilon \rightarrow 0} \frac{f("p + \epsilon V^a") - f(p)}{\epsilon}.$$

By " $p + \epsilon V^a$ " we mean $\gamma(\epsilon)$ where $\gamma : \mathbb{R} \rightarrow M$ is a curve with $\gamma(0) = p$, $\gamma'(0) = V^a$.

Thus $\nabla_a f$ is a covector field, which we previously called df .

It is not possible to define the directional derivative of a vector field v^b in the same way, because $v^b("p + \epsilon V^a") - v^b(p)$ involves the difference of vectors in different spaces.

Covariant differentiation and parallel transport

If a metric g_{ab} is specified, this determines a way to **parallel transport** a vector along a curve. Using this we can define $\nabla_a f^b$. Using the Leibnitz rule this easily extends to tensors of arbitrary variance. In this way we get a linear operator ∇ from (k, l) -tensors to $(k, l + 1)$ -tensors for all k, l . It satisfies the Leibnitz rule, commutes with traces, gives the right result on scalar field, satisfies the symmetry

$$\nabla_a \nabla_b f = \nabla_b \nabla_a f, \quad f : M \rightarrow \mathbb{R}$$

and *is compatible with the metric*:

$$\nabla_a g_{bc} = 0.$$

This characterizes the covariant differentiation operator.

Riemann curvature tensor

It is not true that the second covariant derivative is symmetric when applied to vectors. Instead

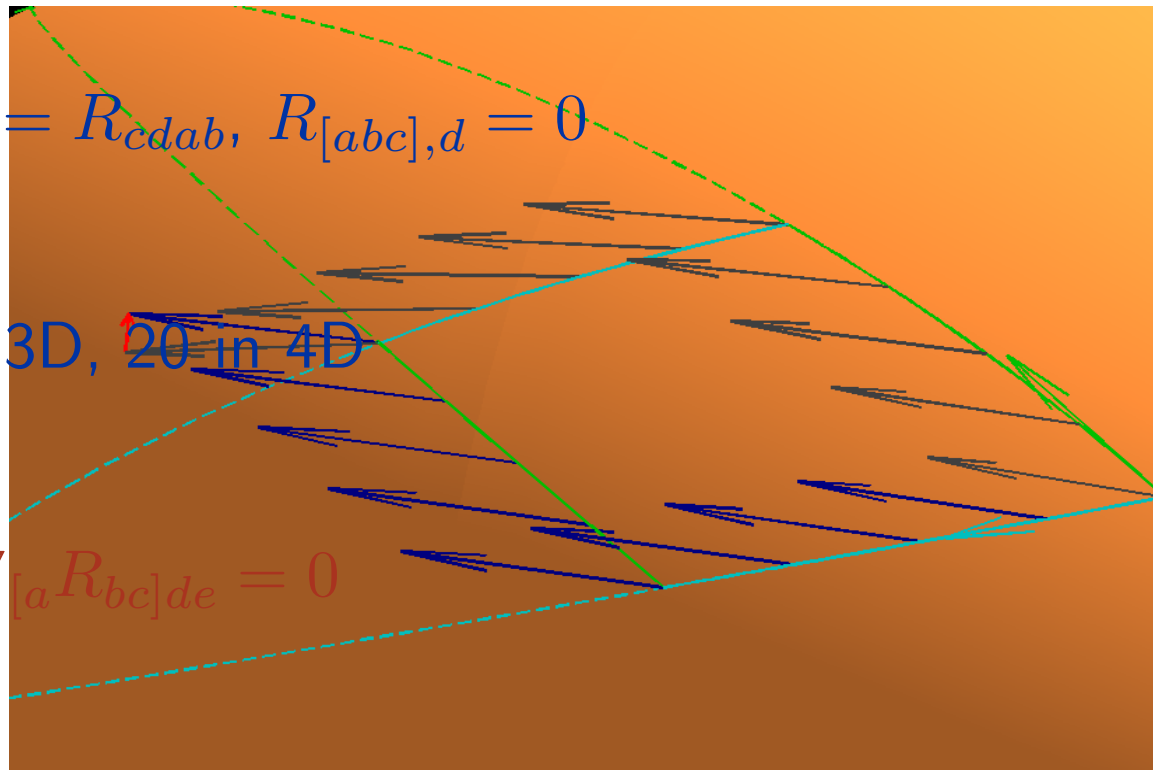
$$(\nabla_a \nabla_b - \nabla_b \nabla_a)v^d = \frac{1}{2}R_{abc}{}^d v^c$$

for some tensor $R_{abc}{}^d$, called the **Riemann curvature tensor**.

$$R_{(ab)cd} = 0, R_{abcd} = R_{cdab}, R_{[abc],d} = 0$$

1 DOF in 2D, 6 in 3D, 20 in 4D

Bianchi identity: $\nabla_{[a}R_{bc]de} = 0$



Ricci tensor, scalar curvature, Einstein tensor

The **Ricci tensor** is the trace of the Riemann tensor:

$$R_{ab} = R_{adb}{}^d$$

The **scalar curvature** is its trace: $R = R_a{}^a = g^{ab}R_{ab}$

The **Einstein tensor** is $G_{ab} = R_{ab} - \frac{1}{2}Rg_{ab}$.

In 4D G_{ab} has the same trace-free part but opposite trace as R_{ab} : Einstein is trace-reversed Ricci.

By the Bianchi identity, $\nabla^a G_{ab} := g^{ac}\nabla_c G_{ab} = 0$

In a vacuum, the Einstein equations are simply

$$G_{ab} = 0$$

or $R_{ab} = 0$.

In GR we are interested in spacetimes, i.e., 4-manifolds endowed with a metric of signature $-+++$ which satisfy the Einstein equations.

If matter is present, then $G_{ab} = kT_{ab}$ where the stress-energy tensor T_{ab} comes from a matter model, $k = \text{const.} = 8\pi G/c^4 = 2 \times 10^{-48} \text{ sec}^2/\text{g cm}$

If $\phi : M \rightarrow N$ is any diffeomorphism of manifolds and we have a metric g on M , then we can push forward to get a metric ϕ_*g on N . With this choice of metric ϕ is an **isometry**. It is obvious that the Riemann/Ricci/scalar/Einstein curvature tensors associated with ϕ_*g on N are just the push-forwards of the those associated with g on M . So if g satisfies the vacuum Einstein equations, so does ϕ_*g .

In particular we can map a manifold to itself diffeomorphically, leaving it unchanged in all but a small region. This shows that the Einstein equations plus boundary conditions can never determine a unique metric on a manifold.

Uniqueness can never be for more than an equivalence class of metrics under diffeomorphism.

The Cauchy problem

Given: 3-manifold S with Riemannian 3-metric h_{ab} and another symmetric $(0, 2)$ -tensor k_{ab}

Compatibility:
$$R - k_{ab}k^{ab} + (k_a^a)^2 = 0$$
$$\nabla^b k_{ab} - \nabla_a (k_b^b) = 0$$

Thm: \exists a **Cauchy development**: a 4-manifold M w/ Lorentzian metric g_{ab} satisfying Einstein equations and an embedding of S into M as a Cauchy surface such that

h_{ab} is the metric on S induced from g_{ab}
 k_{ab} is the 2nd fundamental form $h_a^c h_b^d \nabla_c n_d$

Any two maximal Cauchy developments are related by a diffeomorphism.

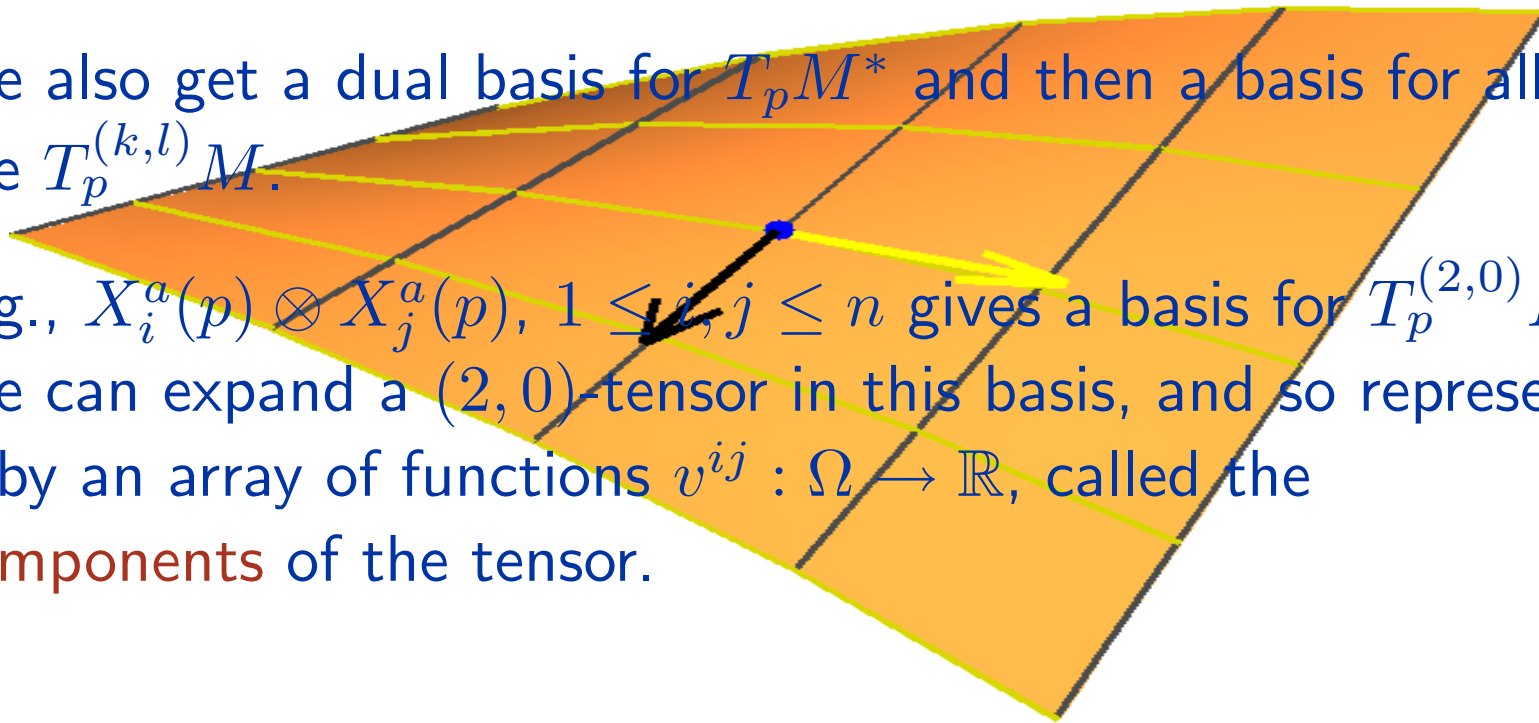
The coordinate viewpoint: PDEs

Coordinates and components

Let $(x^1, \dots, x^n) : M \rightarrow \mathbb{R}^n$ be a diffeomorphism of M (often only part of M) onto $\Omega \subset \mathbb{R}^n$. At each point we can pull back the standard basis of \mathbb{R}^n to a basis for $T_p M$. This coordinate-dependent choice of basis $(X_1^a(p), \dots, X_n^a(p))$ at each point is the **coordinate frame**.

We also get a dual basis for $T_p M^*$ and then a basis for all the $T_p^{(k,l)} M$.

E.g., $X_i^a(p) \otimes X_j^a(p)$, $1 \leq i, j \leq n$ gives a basis for $T_p^{(2,0)} M$. We can expand a $(2, 0)$ -tensor in this basis, and so represent it by an array of functions $v^{ij} : \Omega \rightarrow \mathbb{R}$, called the **components** of the tensor.



Covariant differentiation in coordinates

If g_{ij} are the components of the metric and v^i are the components of some vector field v^b , then the components of the covariant derivative $\nabla_a v^b$ are

$$\nabla_i v^j = \frac{\partial v^j}{\partial x^i} + \Gamma_{ik}^j v^k,$$

where

$$\Gamma_{jk}^i = \frac{1}{2} g^{il} \left(\frac{\partial g_{lk}}{\partial x^j} + \frac{\partial g_{jl}}{\partial x^k} - \frac{\partial g_{jk}}{\partial x^l} \right)$$

are the Christoffel symbols of the metric in the particular coordinate system. Similar formulas exist for the covariant derivative of tensors of any variance.

Einstein equations in coordinates

$$(g^{ij}) = (g_{ij})^{-1}, \quad \Gamma_{jk}^i = \frac{1}{2}g^{il} \left(\frac{\partial g_{lk}}{\partial x^j} + \frac{\partial g_{jl}}{\partial x^k} - \frac{\partial g_{jk}}{\partial x^l} \right)$$

$$R_{ijk}{}^l = \frac{\partial \Gamma_{jk}^l}{\partial x^i} - \frac{\partial \Gamma_{ik}^l}{\partial x^j} + \Gamma_{jk}^m \Gamma_{mi}^l - \Gamma_{ik}^m \Gamma_{mj}^l$$

$$R_{ij} = R_{ilj}{}^l, \quad R = g^{ij} R_{ij}, \quad G_{ij} = R_{ij} - \frac{1}{2}Rg_{ij}$$

$$G_{ij} = \kappa T_{ij}$$

10 quasilinear second order equations in 10 unknowns and 4 independent variables, 1000s of terms

Gauge freedom in coordinates

Given a second coordinate system $(x'^1, \dots, x'^n) : M \rightarrow \Omega'$ we get a second set of component functions g'_{ij} for the same metric.

$$g_{ij}(x) = \frac{\partial \psi^k}{\partial x^i}(x) \frac{\partial \psi^l}{\partial x^j}(x) g'_{kl}(x'),$$

where ψ is $\Omega \rightarrow M \rightarrow \Omega'$.

(g'_{ij}) satisfies the vacuum Einstein equations iff (g_{ij}) does.

This suggests that roughly 4 of the 10 components g_{ij} can be specified independently of the Einstein equations.

$$g_{ij} = h_{ij}, \quad g_{0i} = b_i, \quad g_{00} = -a^2 + b_i b_j h^{ij}$$

$$\partial_t h_{ij} = -2ak_{ij} + 2D_{(i}b_{j)},$$

$$\begin{aligned} \partial_t k_{ij} = & a[R_{ij} + (k_l^l)k_{ij} - 2k_{il}k_j^l] + b^l D_l k_{ij} \\ & + k_{il}D_j b^l + k_{lj}D_i b^l - D_i D_j a, \end{aligned}$$

$$R + (k_i^i)^2 - k_{ij}k^{ij} = 0,$$

$$D^j k_{ij} - D_i k_j^j = 0.$$

Usual strategy:

- add additional equations to determine a and b_i
- compute Cauchy data for h_{ij} , k_{ij} satisfying constraints
- evolve via the evolution equations, perhaps combined with the other equations (constraints are preserved by evolution)

Linearization about $a = 1$, $b_i = 0$, $h_{ij} = \delta_{ij}$:

$$\partial_t \gamma_{ij} = -2\kappa_{ij} + 2\partial_{(i}\beta_{j)},$$

$$\partial_t \kappa_{ij} = (P\gamma)_{ij} - \partial_i \partial_j \alpha,$$

$$(P\gamma)_i^i = 0,$$

$$\partial^j \kappa_{ij} - \partial_i \kappa_j^j = 0.$$

$P\gamma$ is linearized Ricci tensor:

$$(P\gamma)_{ij} = \frac{1}{2}\partial_i \partial^l \gamma_{lj} + \frac{1}{2}\partial_j \partial^l \gamma_{li} - \frac{1}{2}\partial^l \partial_l \gamma_{ij} - \frac{1}{2}\partial_i \partial_j \gamma_l^l.$$

Conclusions

The Einstein equations are **simple geometrical equations** to be satisfied by a metric of signature $-+++$ on the 4-manifold representing spacetime. More specifically, they constrain the curvature tensor associated to the metric.

If we coordinatize the manifold the equations can be viewed as 10 **very complicated PDEs** for the 10 component functions of the metric.

It is evident, geometrically, that there is a great deal of **non-uniqueness** in the Einstein equations.

For computational (and other) purposes it is better to view the Einstein equations not as equations as equations on 4-dimensional spacetime, but as equations for evolution of quantities specified on 3-dimensional spacetime. Many ways to do this—not clear which are good.